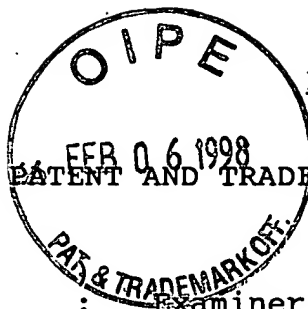


92.2379



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4A
HKO
4.9.98

In re Application of:

SHIGENORI OHKAWA ET AL

Application No.: 08/812,168

Filed: March 6, 1997

For: TRICYCLIC COMPOUNDS,

THEIR PRODUCTION AND USE :

Examiner: Not Yet Assigned

Group Art Unit: 1203

February 5, 1998

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

FEB 17 1998

MAIL ROOM CUSTOMER
SERVICE CENTER

PRELIMINARY AMENDMENT AND
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Prior to action on the merits, please amend the
above-identified application as follows:

IN THE SPECIFICATION:

Page 2, line 11, change "US-411675" to
--EP-A-420064 (U.S. Appln. No. 411,675)--; and
line 28, change "FR-014630" to
--U.S. Patent No. 5,552,418 (FR-93 14630)--.

IN THE CLAIMS:

Please amend claims 3, 4 and 30-41 as follows:

3. (Amended) A compound as claimed in claim 1,

wherein

a

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